

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Timothy J. SIMMS

Appln. No.: 09/986,319

Filed: November 8, 2001

For: System and Method for  
Establishing Secure  
Communication Using A  
Shared Secret Key

Art Unit: 2131

Examiner: To Be Assigned

Atty. Docket: 16222.004



**Response to Notice to File Missing Parts of Application**

**Box Missing Parts**  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed November 26, 2001, applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Transmittal Letter;
2. Copy of the Notice to File Corrected Application Papers;
3. Response to Notice to File Missing Parts of Application (in duplicate);
4. Corrected Patent Specification Entitled: System and Method for Establishing Secure Communication Using a Shared Secret Key, containing 23 pages of description prior to claims and 15 pages of claims and a one page abstract; and
5. a return postcard

Submitted herewith is a substitute specification that the Applicant believes addresses the informalities set forth in the Notice to File Corrected Application Papers dated November 26, 2001. As the drawings filed with the original specification were not objected to in the Notice to File Corrected Application Papers, no new drawings have been provided with the substitute specification.

The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees that may be required under 37 C.F.R. §§ 1.16 or 1.17, or credit any overpayment, to our Deposit Account No. 50-1824 referencing matter No. 16222.004.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

A duplicate copy of this Response is enclosed.

Respectfully submitted,



Leslie L. Jacobs, Jr. (Reg. No. 40,659)

Jay P. Chawla (Reg. No. 44,073)

Date: January 25, 2002

ARNOLD & PORTER  
555 Twelfth Street, N.W.  
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555 Twelfth Street, NW  
Washington, DC 20004-1206



January 25, 2002

Commissioner for Patents  
Washington, D.C. 20231

*Box Missing Parts*

Re: U.S. Nonprovisional Application  
Appl. No.: 09/986,319  
Filed: November 8, 2001  
For: System and Method for Establishing Secure  
Communications Using a Shared Secret Key  
Inventors: Timothy J. SIMMS  
Atty. Docket: 16222.004

Sir:

The following documents are forwarded herewith for appropriate action by the  
U.S. Patent and Trademark Office:

1. this Transmittal Letter;
2. Copy of the Notice to File Missing Parts of Application;
3. Response to Notice to File Missing Parts of Application (in duplicate);
4. Corrected Patent Specification Entitled: **System and Method for Establishing Secure Communication Using A Shared Secret Key** and naming as inventor: SIMMS, Timothy J. the application consisting of a specification containing:
  - (i) 23 pages of a description prior to the claims;
  - (ii) 15 pages of claims (151 claims); and
  - (iii) a one (1) page abstract;; and
5. a return postcards.

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The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees that may be required under 37 C.F.R. §§ 1.16 or 1.17, or credit any overpayment, to our Deposit Account No. 50-1824 referencing matter No. 16222.004.

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

Respectfully submitted,



Leslie L. Jacobs, Jr. (Reg. No. 40,659)  
Jay P. Chawla (Reg. No. 44,073)

Enclosures



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/986,319	11/08/2001	Timothy J. Simms	16222.0004

CONFIRMATION NO. 5579

28381  
ARNOLD & PORTER  
IP DOCKETING DEPARTMENT; RM 1126(b)  
555 12TH STREET, N.W.  
WASHINGTON, DC 20004-1206

## FORMALITIES LETTER

Docketed

Due Date

Initial

*Response*  
*1-26-02*  
*J.L.C.*



\*OC000000007119965\*

Date Mailed: 11/26/2001



## NOTICE TO FILE CORRECTED APPLICATION PAPERS

**Filing Date Granted**

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
  - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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DOCKET DEPT.  
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NOV 29 2001  
WASHINGTON, D.C.